

## BRONCHOSCOPY INCLUSION/EXCLUSION CRITERIA FORM

|    | ID NUMBER:  FORM CODE: BIE Visit VERSION: 2.0 03/06/12 Number  SEQ #   |  |  |  |  |  |  |  |  |  |  |  |
|----|--|--|--|--|--|--|--|--|--|--|--|--|
| 0a | ) Form Date  |  |  |  |  |  |  |  |  |  |  |  |
|    | Instructions: This form should be completed immediately after the participant signs the informed consent. This form, along with spirometry, determines eligibility for the Bronchoscopy substudy. Whenever numerical responses are required, enter the number so that the last digit appears in the rightmost box. Enter leading zeroes where necessary to fill all boxes. |  |  |  |  |  |  |  |  |  |  |  |
|    | I am now going to ask you a series of questions to determine if you are eligible for the study.  |  |  |  |  |  |  |  |  |  |  |  |
|    | Please answer as completely and accurately as possible.  |  |  |  |  |  |  |  |  |  |  |  |
| 1) | (do not ask) Did participant meet all the requirements of the main Spiromics   |  |  |  |  |  |  |  |  |  |  |  |
| ·  | study? (Y/N)   |  |  |  |  |  |  |  |  |  |  |  |
|    | Jiddy: (1714)  |  |  |  |  |  |  |  |  |  |  |  |
| 2) | (do not ask) Is this participant male or female?   |  |  |  |  |  |  |  |  |  |  |  |
|    | FemaleF  |  |  |  |  |  |  |  |  |  |  |  |
|    | MaleM → Go to Item 3   |  |  |  |  |  |  |  |  |  |  |  |
|    | 2a) Are you of child-bearing potential?  |  |  |  |  |  |  |  |  |  |  |  |
|    | Yes  |  |  |  |  |  |  |  |  |  |  |  |
|    | No   |  |  |  |  |  |  |  |  |  |  |  |
|    | Don't know   |  |  |  |  |  |  |  |  |  |  |  |
|    | 2b) Is there any chance you are is pregnant? (Y/N)   |  |  |  |  |  |  |  |  |  |  |  |
| 3) | How old are you? years   |  |  |  |  |  |  |  |  |  |  |  |
| 4a | 4a) Do you use supplemental oxygen while at rest (sitting or lying down)? (Y/N)  |  |  |  |  |  |  |  |  |  |  |  |
|    | 4b) (do not read) is the subjects PaO2 < 60 or SaO2 <88% (V/N)   |  |  |  |  |  |  |  |  |  |  |  |

|    | ID NUI  | MBER:   |        |       |                   |            |       |       |       | FORM CODE: BIE<br>VERSION: <b>2.0</b> 03/06/12 | Visit<br>Number |         | SEQ# |  |  |
|----|---|---|--------|-------|-------------------|------------|-------|-------|-------|--|-----------------|---------|------|--|--|
|    | 4   | 4c) (do   | not    | read  | d) Do             | es the     | use   | of    | sup   | plemental oxygen describe                      | ed in 4a o      | r 4b    |      |  |  |
|    | r   | nake th   | ne na  | artic | inan <sup>.</sup> | t inelia   | ihle' | ) (Y  | /NI)  |  |                 |         |      |  |  |
|    | ,   | nako u  | 10 pt  | 21110 | ipaii             | t ii ioiig | 1010  | . ( . | ,,,,, |  |                 |         |      |  |  |
| 5  | a) Are  | you cur   | rent   | ly ta | aking             | any m      | nedi  | catio | ons   | that thins your blood, such                    | as Warfa        | arin or |      |  |  |
|    | Clop  | oidegrel  | ? (Y   | /N)   |                   |            |       |       |       |  |                 |         |      |  |  |
|    | 5b)   | ) If yes, please list   |        |       |                   |            |       |       |       |  |                 |         |      |  |  |
|    | 5c)   | 5c) (do not ask) Does the anticoagulation listed in 5b make the participant |        |       |                   |            |       |       |       |  |                 |         |      |  |  |
|    | ineligible? (Y/N)   |   |        |       |                   |            |       |       |       |  |                 |         |      |  |  |
| 6  | a) Hav  | e you e   | ver    | bee   | n dia             | ıgnose     | d wi  | th c  | ardi  | iac disease? (Y/N)                             |                 |         |      |  |  |
|    | 6b)   | Please  | e de   | scri  | be:_              |            |       |       |       |  |                 |         |      |  |  |
|    | 6c)   | (do no  | ot as  | k) [  | oes               | the ca     | ırdia | c di  | seas  | se listed in 6b make the pa                    | ırticipant      |         |      |  |  |
|    |   | ineligi   | ble?   | (Y/   | N)                |            |       |       |       |  |                 |         |      |  |  |
| 7: | a) Hav  | e you e   | ver    | bee   | n dia             | ignose     | d wi  | th a  | ıny c | other heart or lung disease                    | ? (Y/N)         |         |      |  |  |
|    | 7b)   | Please  | e de   | scri  | be:_              |            |       |       |       |  |                 |         |      |  |  |
|    | 7c) (do not ask) Does the heart or lung disease listed in 7b make the |   |        |       |                   |            |       |       |       |  |                 |         |      |  |  |
|    |   | partici   | ipan   | t ine | eligib            | le? (Y     | /N) . |       |       |  |                 |         |      |  |  |
| 8) | ) (do 1   | (do not ask) Is participant's post bronchodilator FEV1 greater than 30%     |        |       |                   |            |       |       |       |  |                 |         |      |  |  |
|    | pred  | predicted? (Y/N)  |        |       |                   |            |       |       |       |  |                 |         |      |  |  |
| 9  | a) (do ı  | not ask)  | ) In t | the ( | opini             | on of t    | he i  | nves  | stiga | ator, are there any other ph                   | nysical         |         |      |  |  |
|    | sym   | ptoms o   | or co  | ondi  | tions             | that n     | nake  | thi   | s pa  | articipant ineligible for partic               | cipation in     | 1       |      |  |  |
|    | the b   | oroncho   | osco   | ру s  | subst             | tudy? (    | Y/N   | )     |       |  |                 |         |      |  |  |
|    | 9b)   | Please  | e de   | scri  | be:_              |            |       |       |       |  |                 |         |      |  |  |

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|-------------------------------|--|--------|------|--------|--------|---------|--------|------|--|-----------------|--|------|--|--|---|
| 1                             | 0a)(do not ask   | k) Die | d th | e stu  | ıdy p  | hysic   | ian    | orde | er any additional lab tests                    | s prior to      |  |      |  |  |   |
|                               | bronchosco   | ру?    | (Y/I | N)     |        |         |        |      |  |                 |  |      |  |  |   |
| 10b) If yes, please describe: |  |        |      |        |        |         |        |      |  |                 |  |      |  |  |   |
|                               | 10c) (do not ask) Were the results of the lab work abnormal? (Y/N) |        |      |        |        |         |        |      |  |                 |  |      |  |  |   |
|                               | 10d) (do no  | ot as  | k) C | o the  | e res  | sults o | of blo | bod  | work described in 10b a                        | nd 10c          |  |      |  |  |   |
|                               | make   | the    | part | ticipa | ant in | eligib  | le?    | (Y/N | l)   |                 |  |      |  |  |   |